

Life & Annuity Producer Messages

228 Producer Inquiry

Function

- Provides all Producer details (licenses, appointments, registrations, training, personal information as needed)
- Provides specific details in different combinations
- Provides information on what the Producer can sell (products, jurisdictions)
- Can answer specific questions:
 - Is the Producer appointed?
 - Is the Producer licensed in a specified jurisdiction for a line of business?
 - Is the Producer registered to sell a line of business?
 - Is the Producer trained for a product in a jurisdiction?
- ▶ **Most common implementation: Producer “Can Sell” message**
 - Given a jurisdiction, product, etc., can the Producer sell this policy or contract?

Benefits

- Standard Data Exchange
- Agreement on Common Structures:
 - Vocabulary (Life Data Dictionary)
 - Structure (Life Object Model)
 - Support unique requirements in standard way
 - Eliminate custom data feeds
- Model-Driven Architecture
 - Provides common architecture to all messages
 - Encourages reusable components
 - Results in reusable code and development

Related Messages

- To support the ACORD 821 Producer Information Form:
 - 410 Appointment Submission
 - 411 Appointment Renewal Submission
 - 412 Appointment Non-Renewal Submission
 - 413 Appointment Termination
- 129 License Submission
- 161 Producer (Re)Assignment Submission
- 211 Licensing Inquiry
- 206 Commission Statement requests / 1206 Transmittal
- 1204/20401 Distributor Profile (DPfA/DPfL)
- This process uses the JSON payload identified in ACORD Next-Generation Digital Standards (NGDS) user story UC-251 Verify Producer Can Sell Annuities

EDI to XML Mapping

DTCC File	ACORD TransType
App/Sub (Applications)	103 – New Business Submission
App/SUB (SubPayments)	508 – Payment Submission
Attachments	510 – FormInstance Maintenance
COM (Commissions)	1206 – Commission Statement
FAR (Financial Activity Reporting)	1213 – Financial Activity Transmittal
L&A (Licensing & Appointments)	410 – Appointment Submission 413 – Appointment Termination 1228 – Producer Transmittal 228 – Producer Inquiry 129 – License Submission
POV (Positions & Valuation)	1212 – Values Transmittal or 1203 – Holding Transmittal
IFW (InforceWeb Transactions)	102 – Fund Transfer Admin 105 – WithdrawalSubmission 107 – Arrangement Admin 113 – Policy Admin 115 – Policy Admin Inquiry 212 – Values Inquiry 810 – First Notice of Loss

Additional Information

- 228 is a request/response message. There is also an equivalent transmittal or “push” transaction.
- DTCC uses the Producer Training messages in their Producer Management Portal (PMP).
- 228 is supported by the ACORD Licensing and Appointment Implementation Guide.
- [Click here to review the Can Sell Transaction Specification](#)